



INTERNATIONAL CIVIL AVIATION ORGANIZATION

PBN Airspace Concept

Victor Hernandez

RO ATM/SAR

ICAO North America, Central America and Caribbean
Regional Office

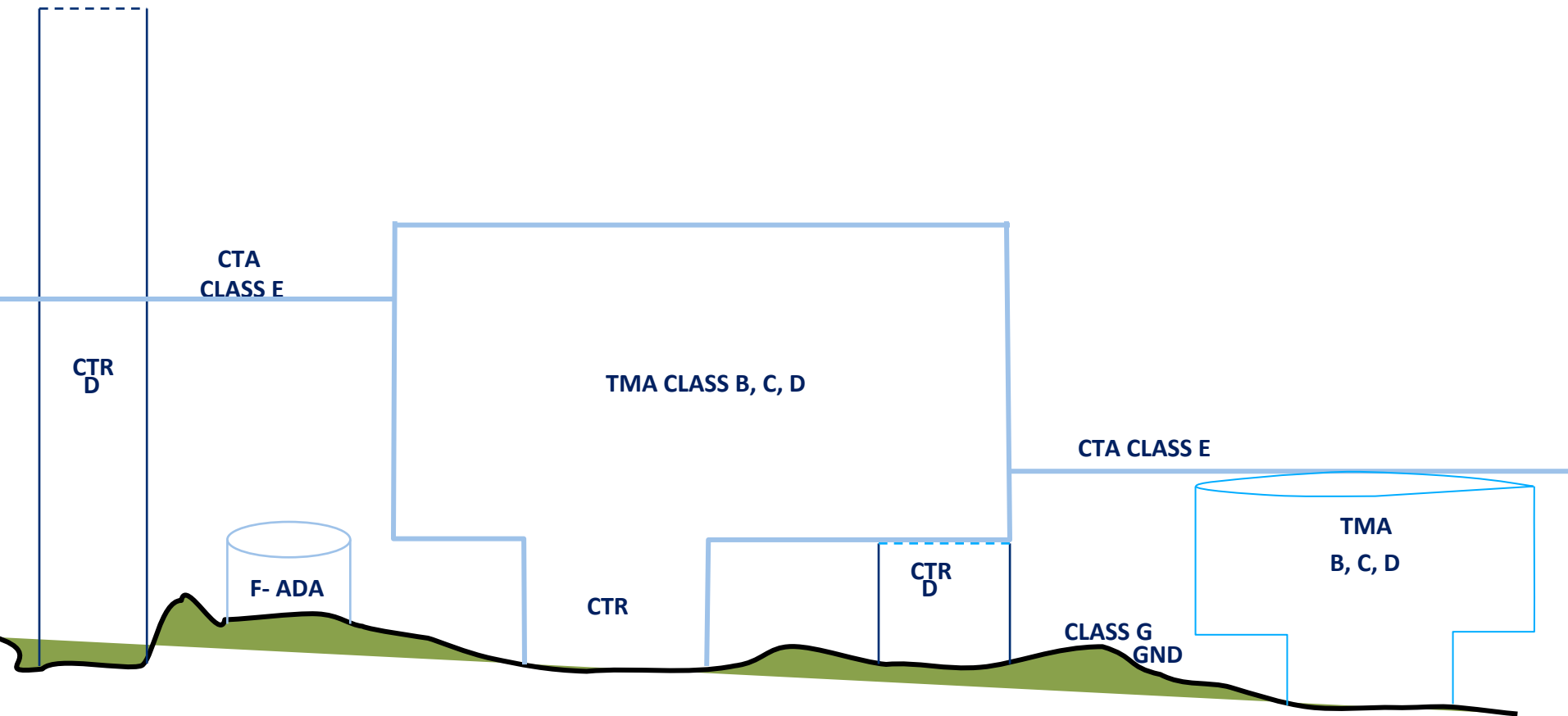
Annex 11, ATS Airspace Requirements



FIR

UTA CLASS "A"

FL195



Annex 11, ATS Airspace Classes

Services provided and flight requirements



<i>Class</i>	<i>Type of flight</i>	<i>Separation provided</i>	<i>Service provided</i>	<i>Speed limitation*</i>	<i>Radio communication requirement</i>	<i>Subject to an ATC clearance</i>
A	IFR only	All aircraft	Air traffic control service	Not applicable	Continuous two-way	Yes
B	IFR	All aircraft	Air traffic control service	Not applicable	Continuous two-way	Yes
	VFR	All aircraft	Air traffic control service	Not applicable	Continuous two-way	Yes
C	IFR	IFR from IFR IFR from VFR	Air traffic control service	Not applicable	Continuous two-way	Yes
	VFR	VFR from IFR	1) Air traffic control service for separation from IFR; 2) VFR/VFR traffic information (and traffic avoidance advice on request)	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	Yes
D	IFR	IFR from IFR	Air traffic control service, traffic information about VFR flights (and traffic avoidance advice on request)	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	Yes
	VFR	Nil	IFR/IFR and VFR/VFR traffic information (and traffic avoidance advice on request)	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	Yes
E	IFR	IFR from IFR	Air traffic control service and, as far as practical, traffic information about VFR flights	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	Yes
	VFR	Nil	Traffic information as far as practical	250 kt IAS below 3 050 m (10 000 ft) AMSL	No	No
F	IFR	IFR from IFR as far as practical	Air traffic advisory service; flight information service	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	No
	VFR	Nil	Flight information service	250 kt IAS below 3 050 m (10 000 ft) AMSL	No	No
G	IFR	Nil	Flight information service	250 kt IAS below 3 050 m (10 000 ft) AMSL	Continuous two-way	No
	VFR	Nil	Flight information service	250 kt IAS below 3 050 m (10 000 ft) AMSL	No	No

* When the height of the transition altitude is lower than 3 050 m (10 000 ft) AMSL, FL 100 should be used in lieu of 10 000 ft.

Misconceptions on PBN



✈️ PBN, it looks complicated but it is not:

- ✈️ It does not add new navigation philosophy, but just is a pragmatic tool to implement navigation procedures for aircraft capability that exists for more than 30 years!
- ✈️ It does not require States to completely overhaul navigation infrastructure, but can be implemented step-by-step
- ✈️ It does not require States to implement the most advanced navspec, only needs to accommodate operational needs

ATM Planning...



- **Main Traffic Flows between City Pairs / en-route airspace**
 - Concentration of significant volumes of air traffic on the same or proximate flight trajectories
 - Major traffic flows may cross several homogeneous ATM areas
 - Basic is the number of aircraft movements that must be provided with ATM services.
 - Estimates and forecasts of annual aircraft movements
 - Capabilities of the aircraft population
 - Forecasts of aircraft movements in peak periods, such as during a particularly busy hour, are needed for detailed planning
 - Civil/military coordination and special use airspace (SUA)

- **Homogenous areas**
 - An airspace with a common ATM interest, based on similar characteristics of traffic density, complexity, air navigation system infrastructure requirements or other specified considerations / level of sophistication

Commitment from States

2010 37th ICAO General Assembly



- ✈️ Resolution A37-11 supersedes A36-23
- ✈️ A37-11 urges all States to complete a national PBN implementation plan as a matter of urgency to achieve:
 - ✈️ PBN for en route and terminal areas
 - ✈️ According to established timelines and intermediate milestones;
 - ✈️ PBN approach procedures with vertical guidance (APV) for all instrument runway ends (as primary or back-up for precision approach) by 2016 - 30% by 2010, 70% by 2014

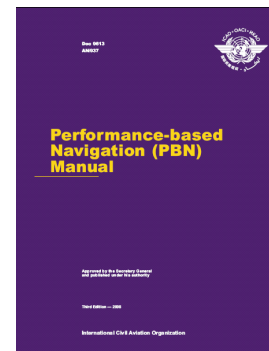
How is PBN going worldwide?

✈️ Global agreement on PBN

✈️ ICAO complete tool box



Supporting Guidance



Doc 9613 PBN Manual
Volume I
Part A - Airspace Concept
Part B – Implementation Processes

Volume II – Navigation Specifications

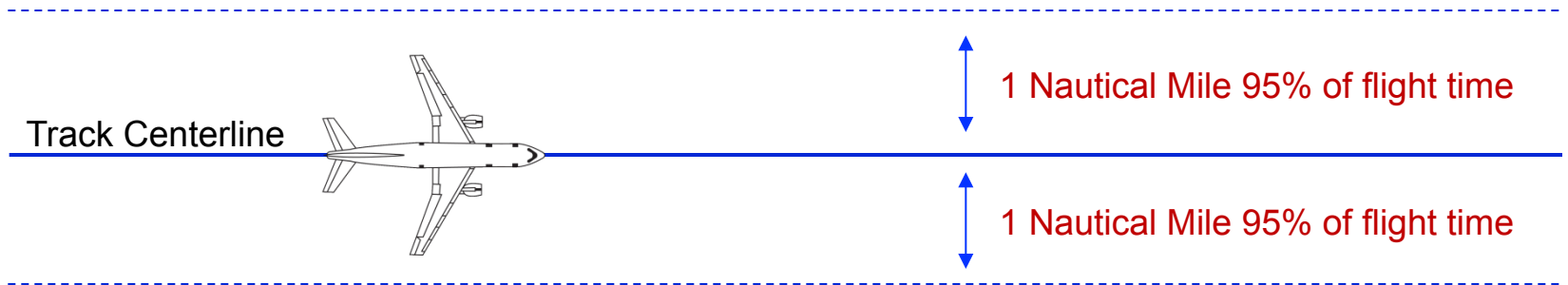
- ✈️ ICAO Doc 8168, PANS-OPS Vol II
- ✈️ Doc 9906, Vol I, FPD QA System
 - ✈️ Vol 2, FDP Training
 - ✈️ Vol 3, FPD Software validation
 - ✈️ Vol 5, Validation of FPD
 - ✈️ Vol 6, Flight validation, Pilot Training and evaluation
- ✈️ Doc 9905, RNP AR Procedure Design Manual
- ✈️ Doc 9902, PBN in Airspace Design
- ✈️ Doc 9933, CCO Manual
- ✈️ Doc 9931, CDO Manual

RNAV and RNP



RNAV 1

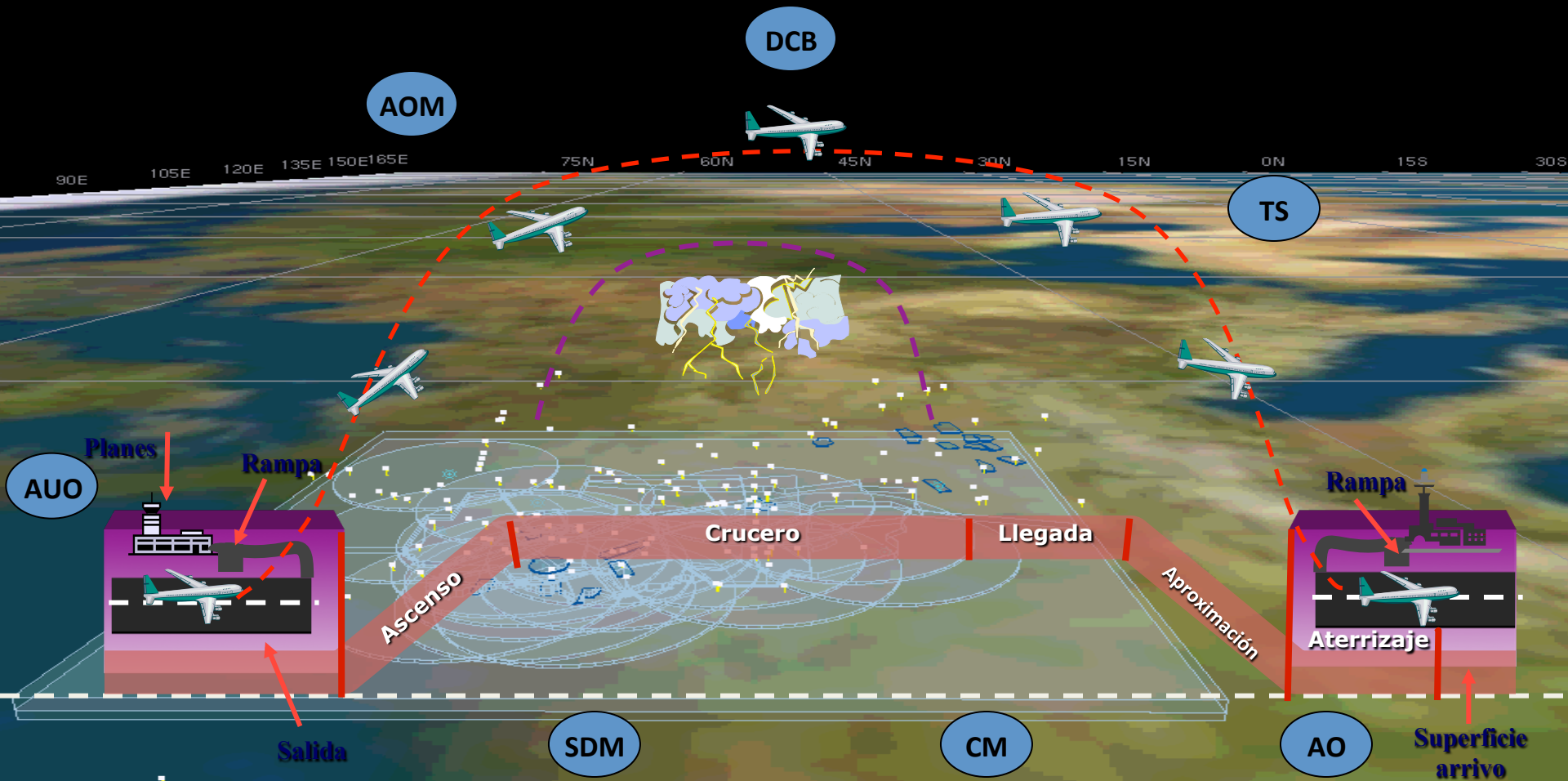
RNP 1
2*RNP Alert to Pilot



RNP isn't "fundamentally different" from RNAV:
RNP is **MORE** Than RNAV

The Key Difference:
On-Board Performance Monitoring and Alerting

Gate-to-Gate Concept



COMMUNICATION (COM) - NAVIGATION (NAV) - SURVEILLANCE (SUR)

Navigation Specification



8. The RNP 0.3 specification is primarily intended for helicopter operations.

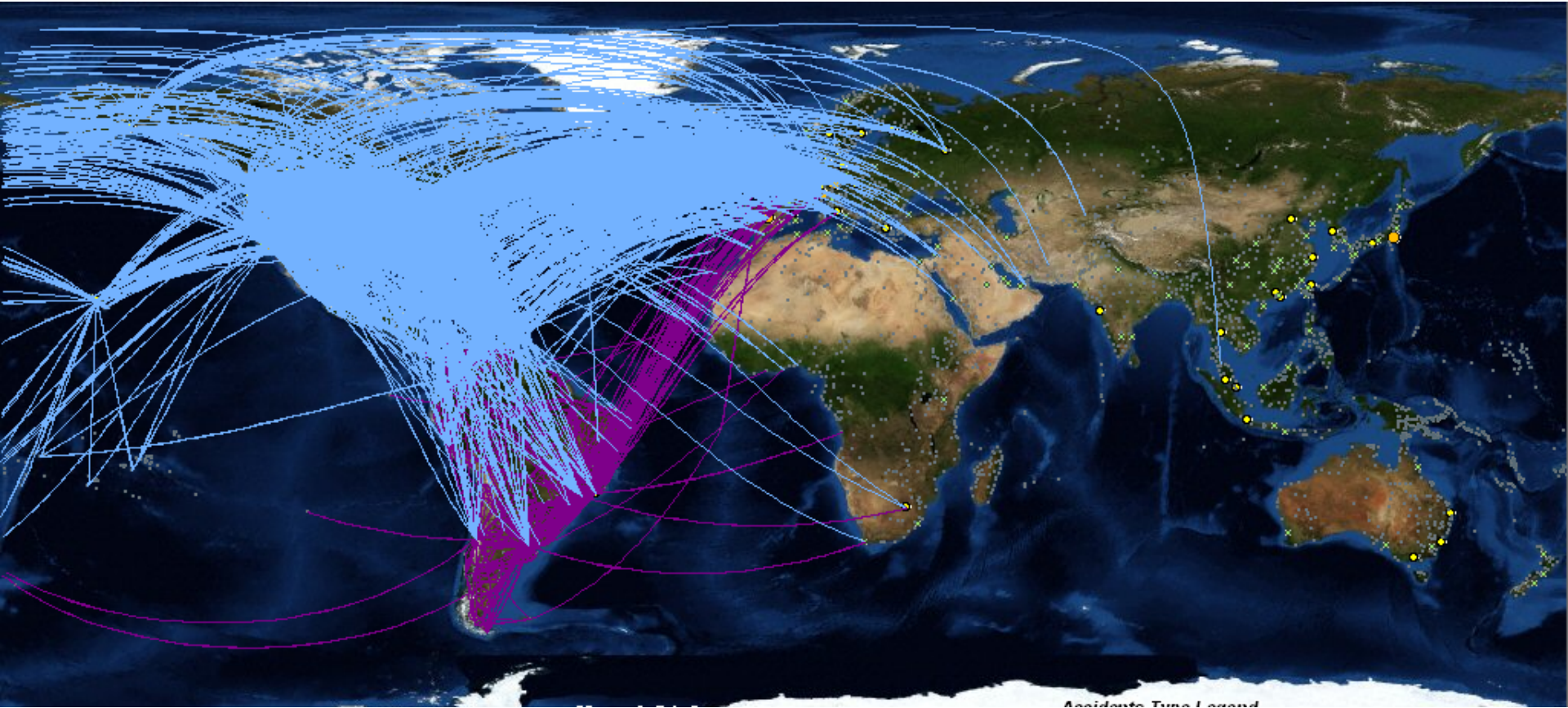
Navigation Specification	Flight phase							
	En-route oceanic/remote	En-route Continental	Arrival	Approach				Departure
				Initial	Intermediate	Final	Missed ¹	
RNAV 10	10							
RNAV 5 ²		5	5					
RNAV 2		2	2					2
RNAV 1		1	1	1	1		1	1
RNP 4	4							
RNP 2	2	2						
RNP 1 ³			1	1	1		1	1
Advanced RNP ⁴	2 ⁵	2 or 1	1	1	1	0.3	1	1
RNP APCH ⁶				1	1	0.3 ⁷	1	
RNP AR APCH				1-0.1	1-0.1	0.3-0.1	1-0.1	
RNP 0.3 ⁸		0.3	0.3	0.3	0.3		0.3	0.3

Regional PBN Airspace Concept



STAGE	ATM OPERATIONAL IMPROVEMENT
Stage I (2010 2011)	<u>Review of ATS route network in the CAR Region</u> <ul style="list-style-type: none"> • Gathering data on aircraft PBN capacity • Review of CNS infrastructure • Realignment and implementation of new RNAV routes in the upper airspace based on RNAV 5 • Implementation of RNAV routes in the lower airspace based on RNAV 1, RNAV 2 and RNP 1, as required • Implementation of PBN approach procedures in accordance with Assembly Resolution A37-11
Stage II (2011 2012)	<u>Review and interface of the ATS routes network in the CAR/SAM Regions</u> <ul style="list-style-type: none"> • Realignment and implementation of new RNAV routes in the interface of the upper airspace between the CAR and SAM Regions, based on RNAV 5 or RNAV 2, as applicable • Implementation of CDO in international airports, as required
Stage III (2012 2014)	<ul style="list-style-type: none"> • Elimination of conventional ATS routes in the upper and lower airspace, as required • Implementation of random routes, by airspace altitude stratum • Review of the upper airspace configuration • Review of the lower airspace configuration • Implementation of flexible use of airspace (FUA) • Implementation of dynamic ATS route management

Main Traffic Flows in NAM/CAR/SAM Regions

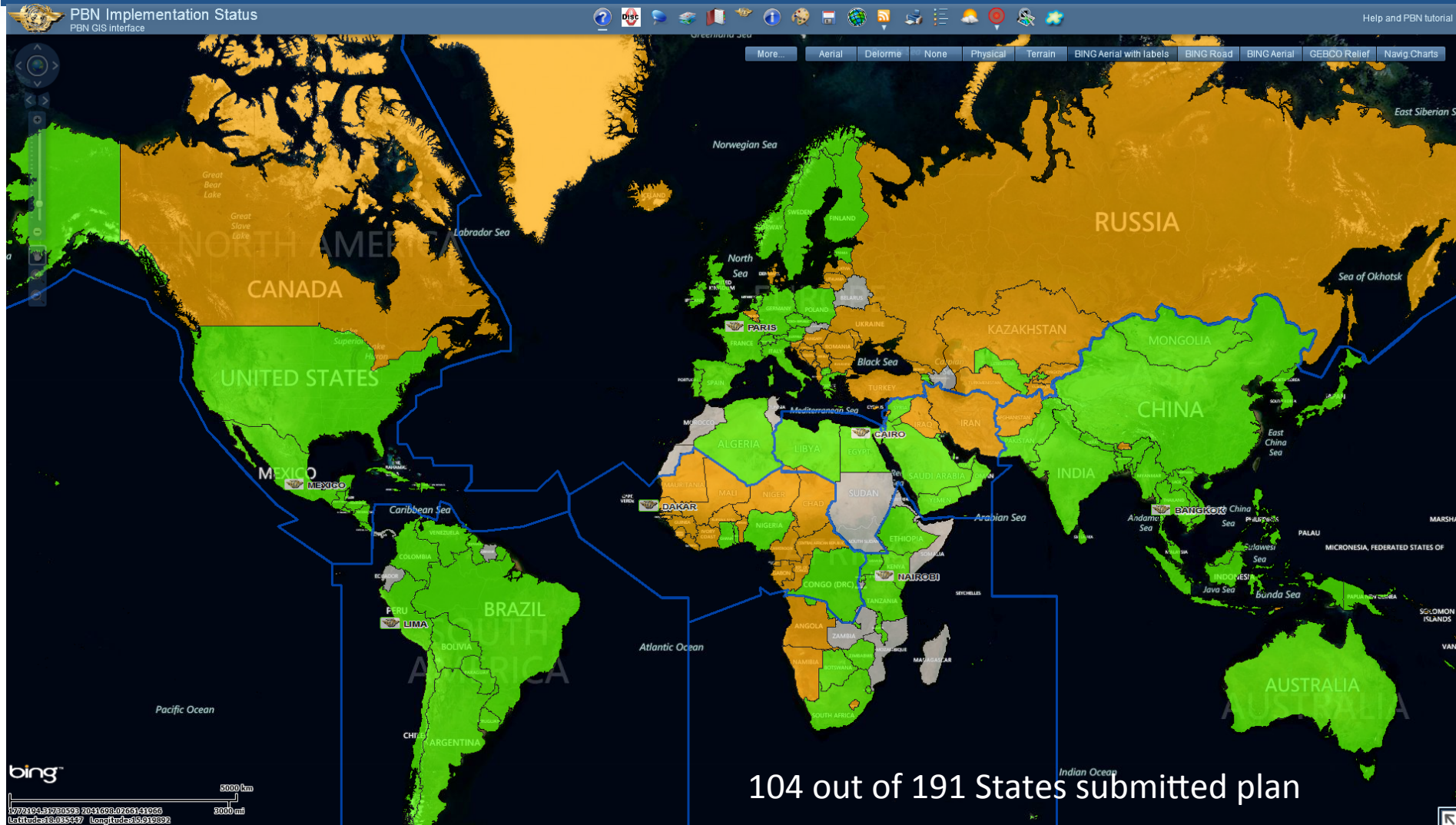


Accidents Type Legend

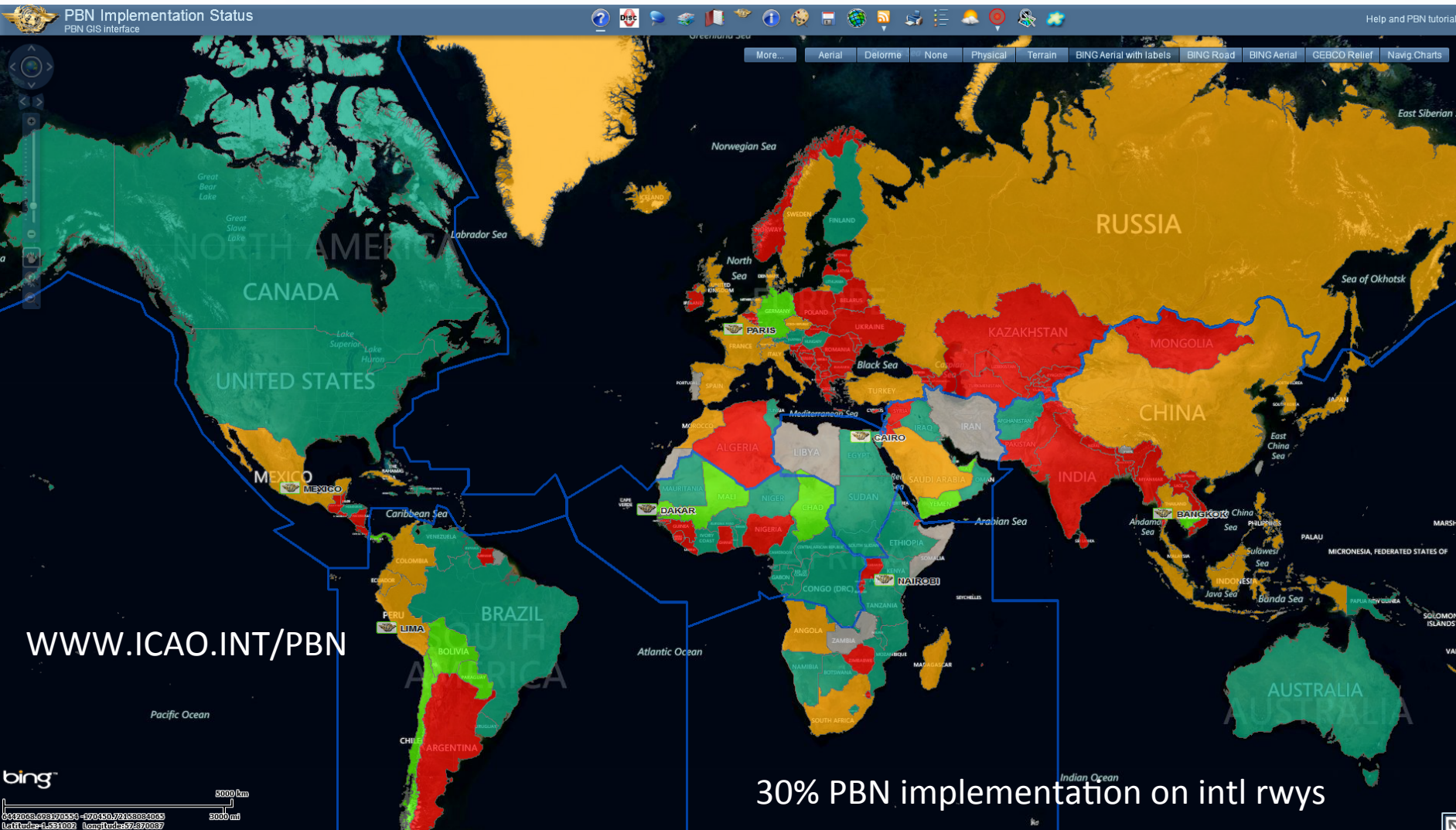
Regional ATS route Network



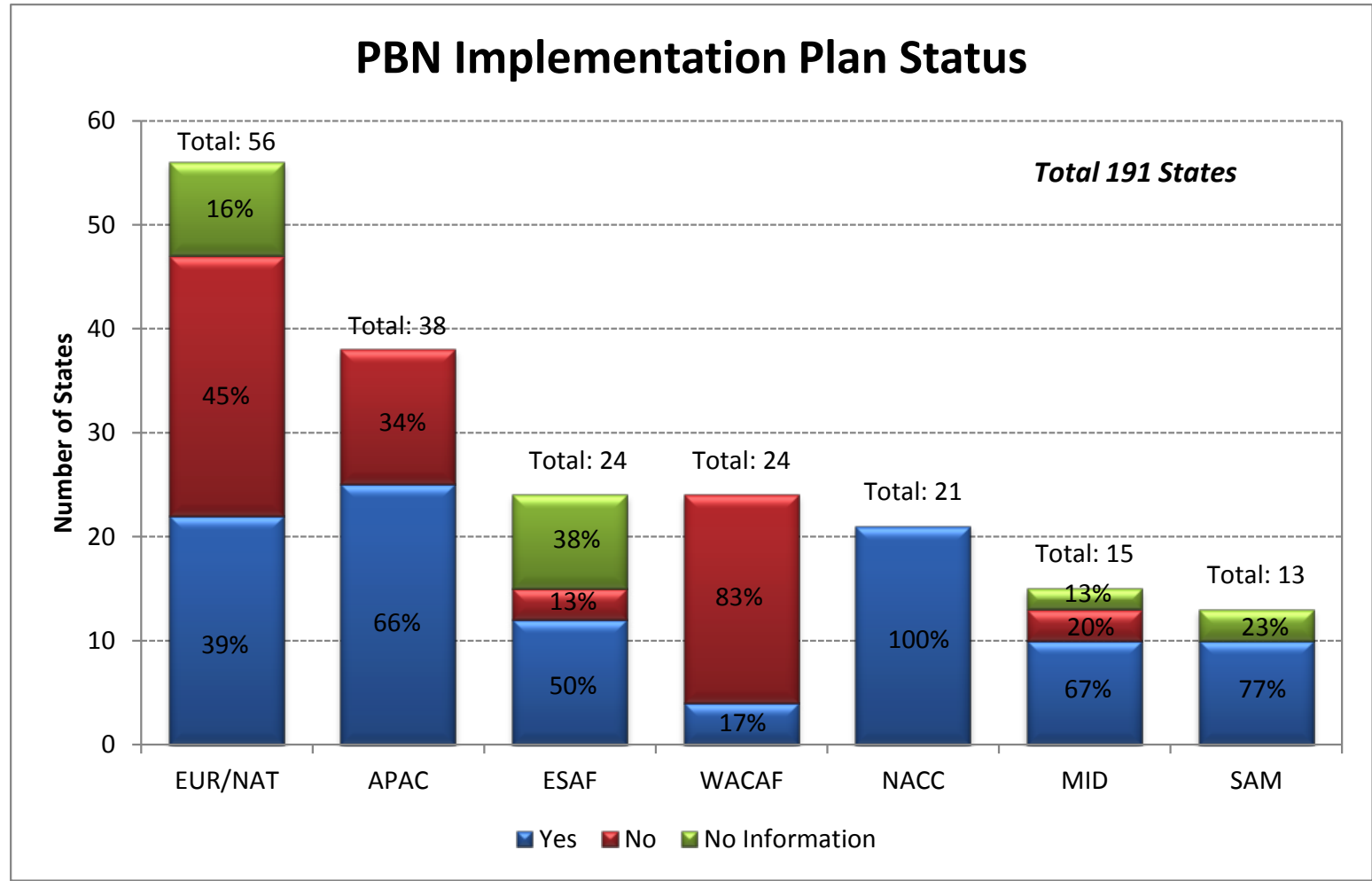
Implementation plans



Actual implementation of PBN approaches (LNAV, LNAV/VNAV, LPV, RNP AR)

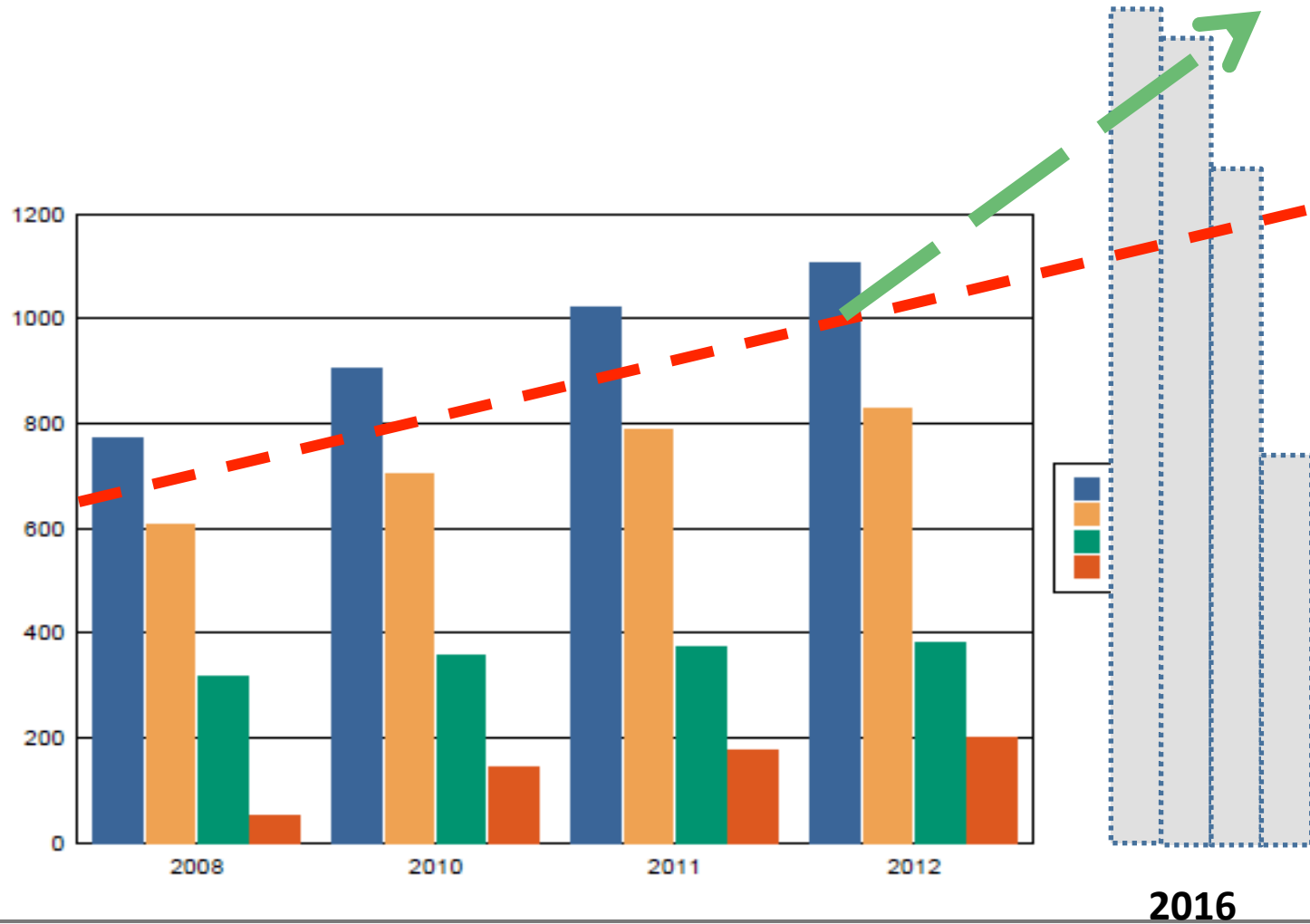


PBN Implementation Plan Submitted

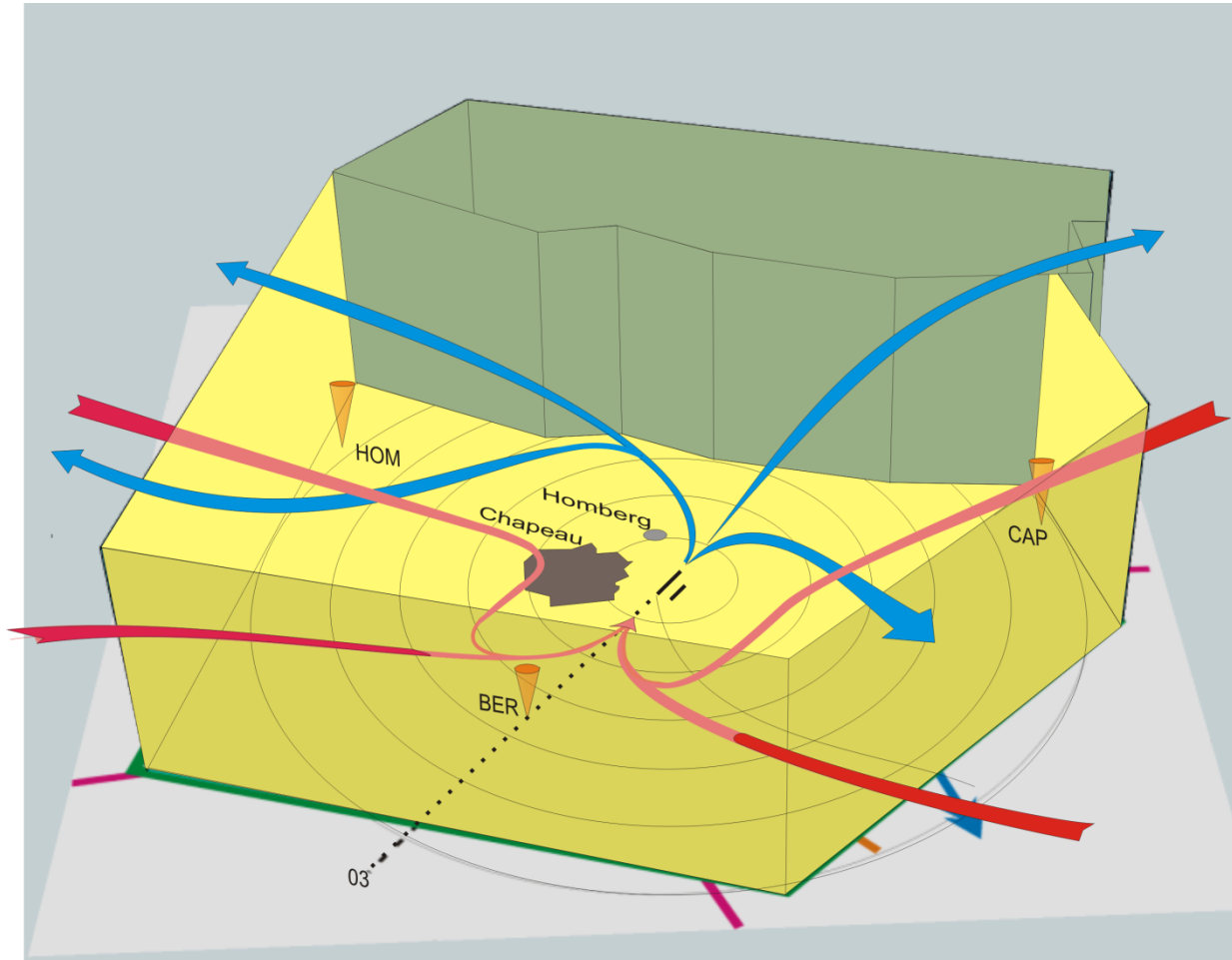


PBN Implementation

International airports



Next?

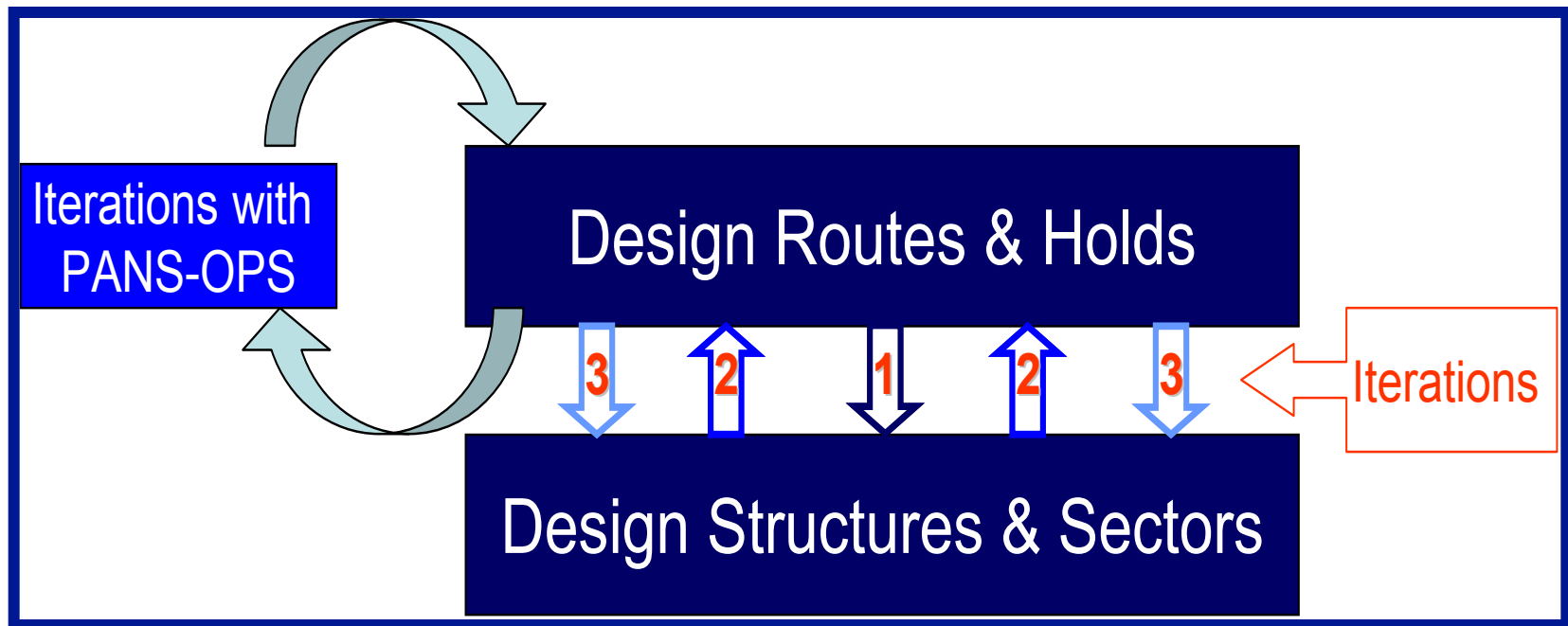


Link between airspace design & PBN

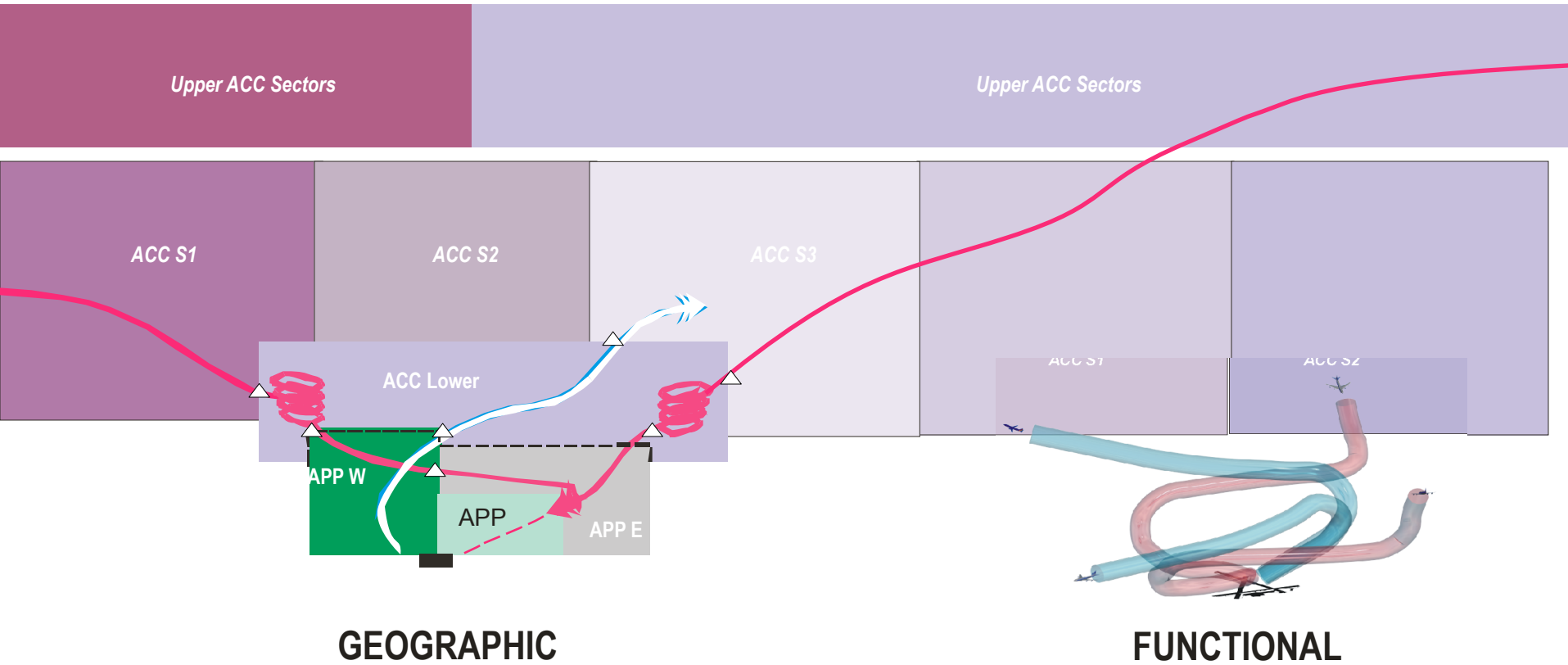
- * **Separation minima**
- * **Configuration/proximity of ATS Routes** (including SIDs, STARs and IFP) for an airspace organisation.
- * Determined, but not exclusively, by
 - **area navigation system performance** stipulated in the **Navigation Specification** (required for operation in an airspace).

Accuracy
Integrity
Continuity

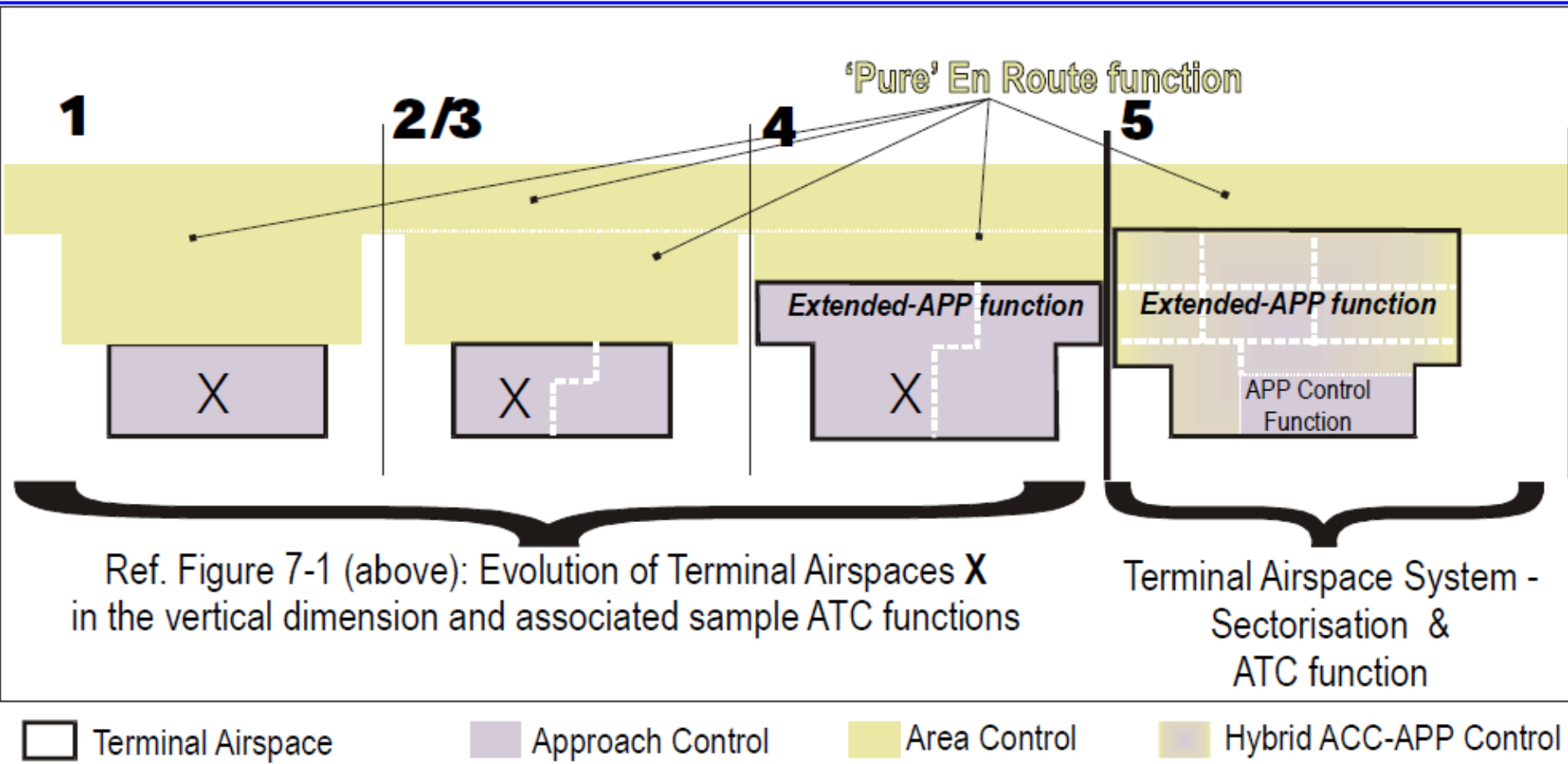
MANUAL ON THE USE OF PBN IN AIRSPACE DESIGN Doc 9992



ATC Sectorisation



ATS Airspace Evolution



CDO



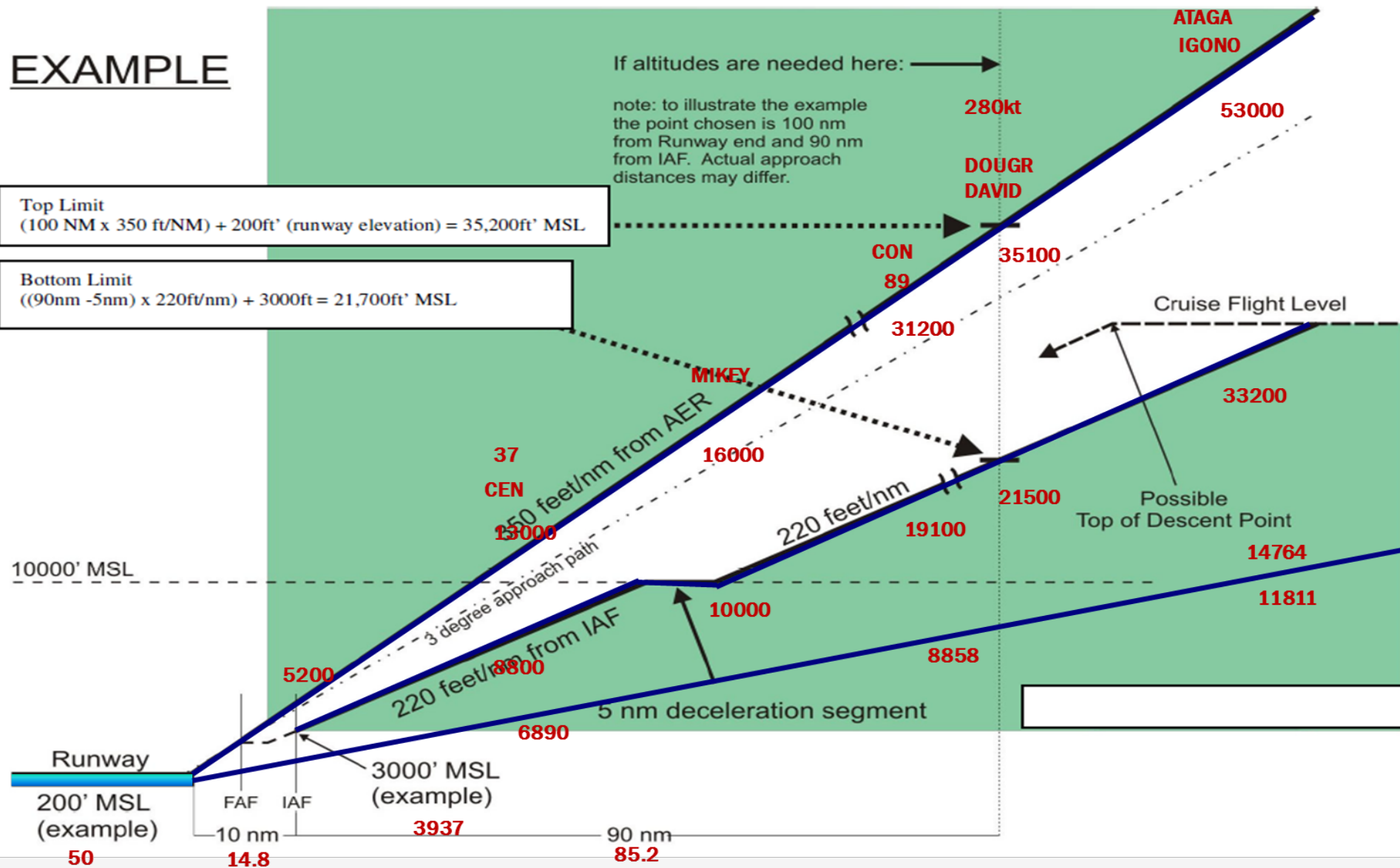
EXAMPLE

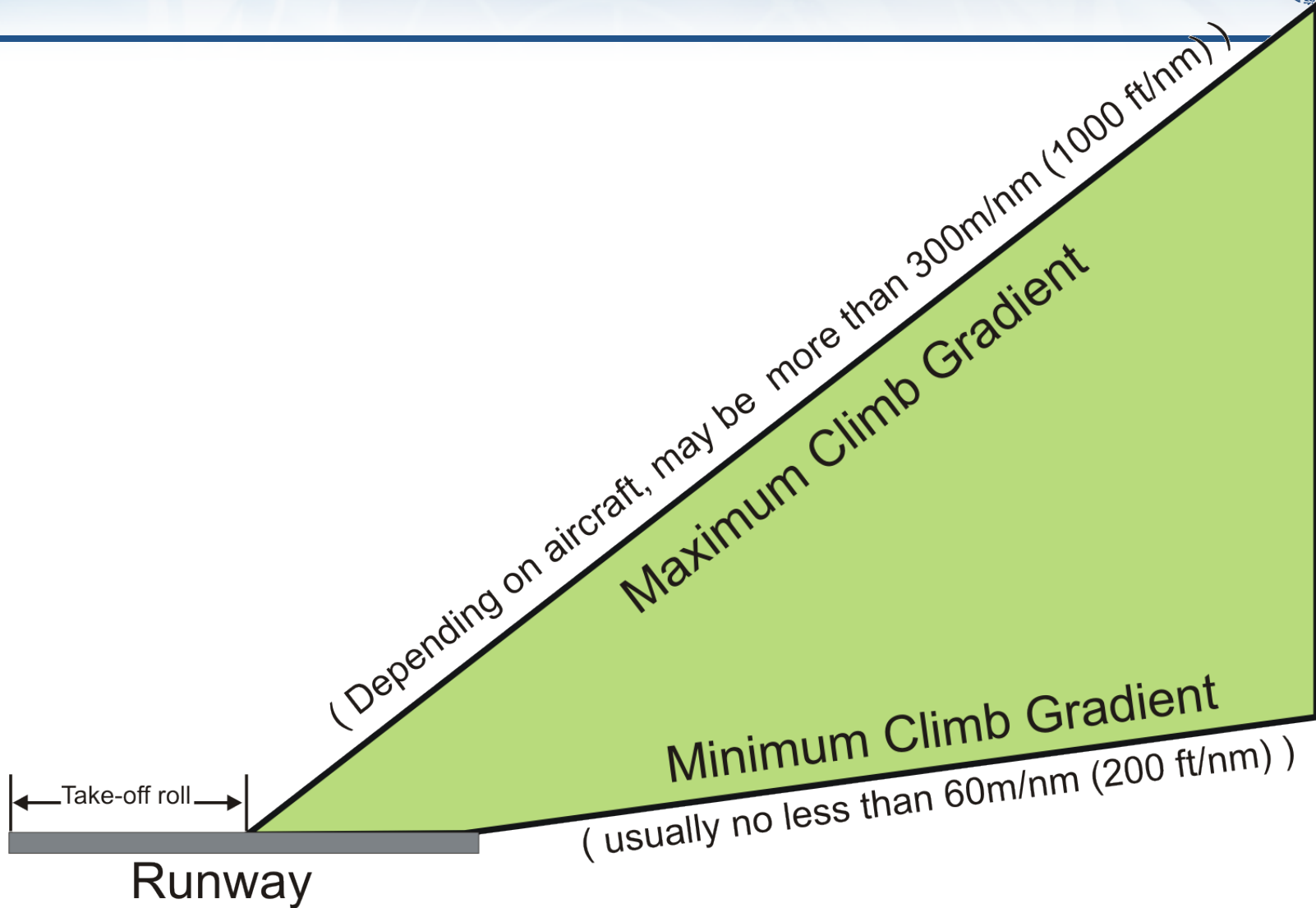
Top Limit
 $(100 \text{ NM} \times 350 \text{ ft/NM}) + 200\text{ft}' \text{ (runway elevation)} = 35,200\text{ft}' \text{ MSL}$

Bottom Limit
 $((90\text{nm} - 5\text{nm}) \times 220\text{ft/nm}) + 3000\text{ft} = 21,700\text{ft}' \text{ MSL}$

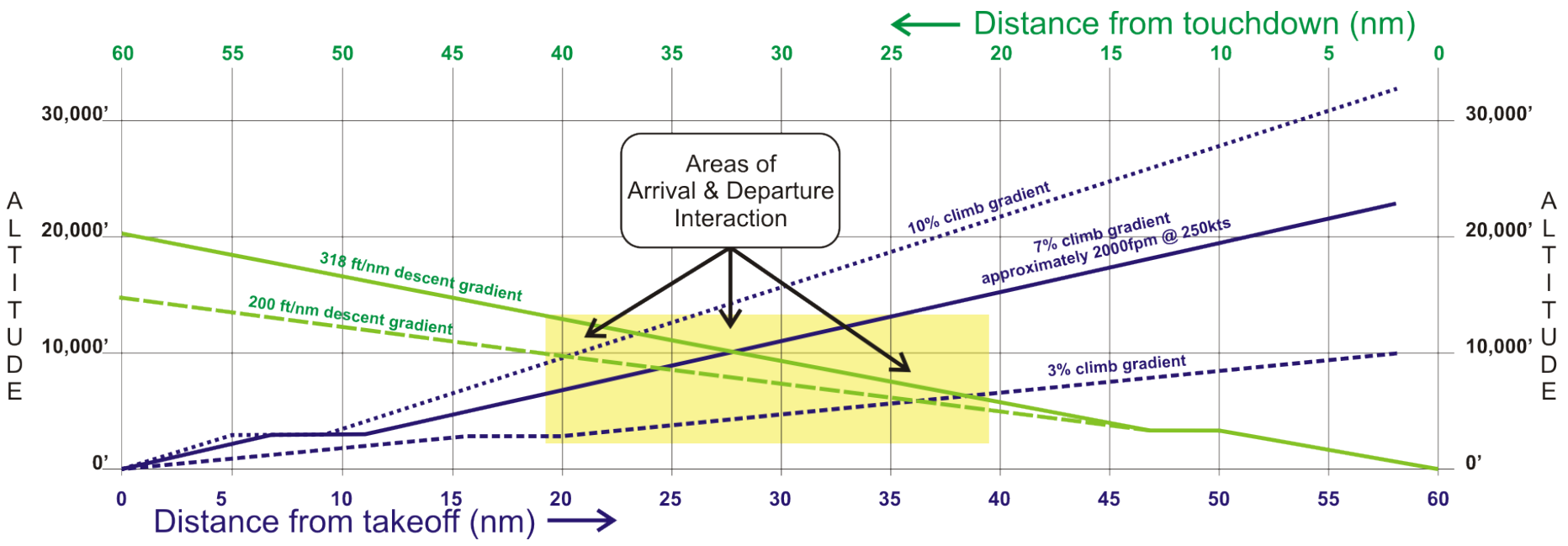
If altitudes are needed here: →

note: to illustrate the example the point chosen is 100 nm from Runway end and 90 nm from IAF. Actual approach distances may differ.





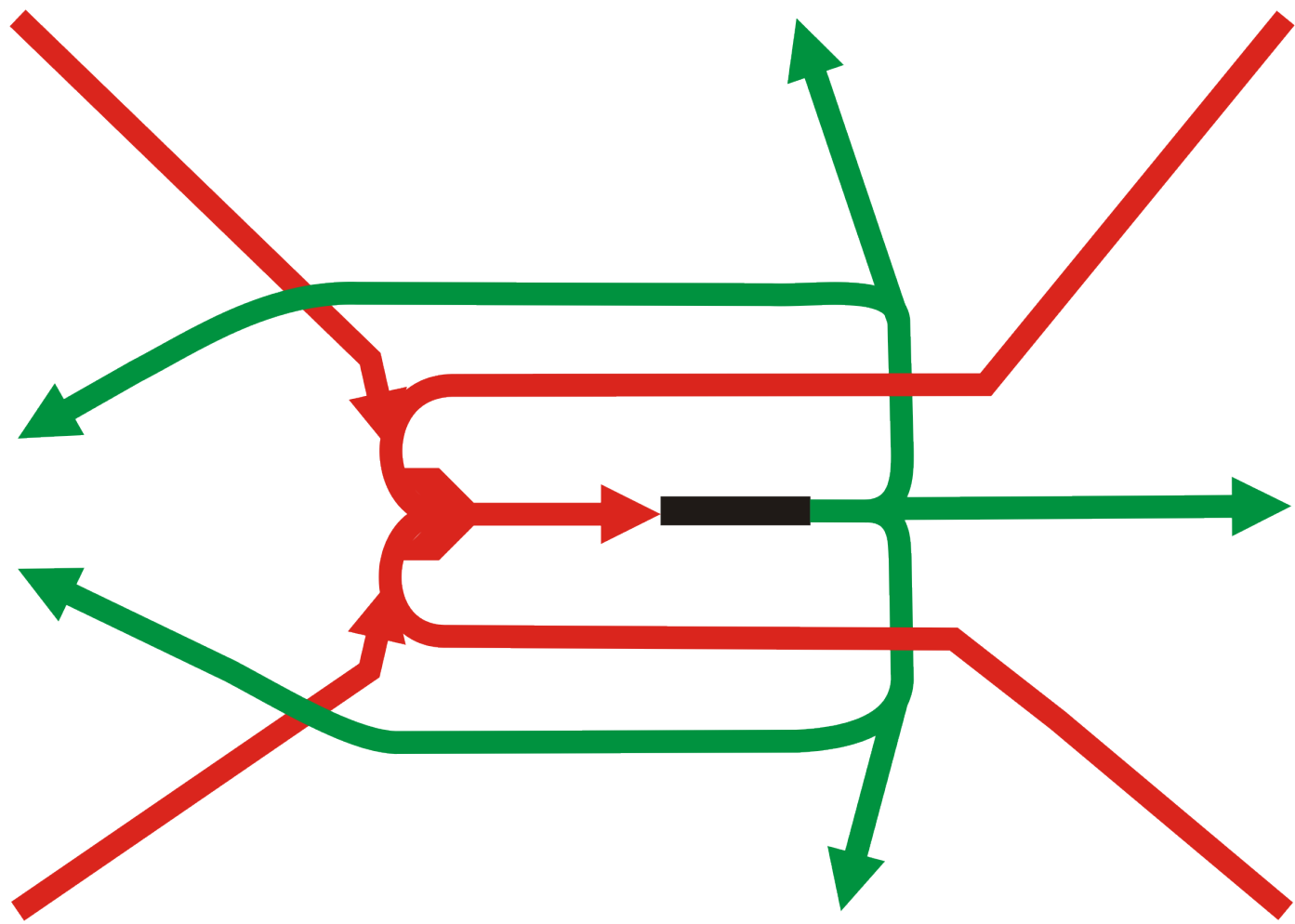
Profile Interaction



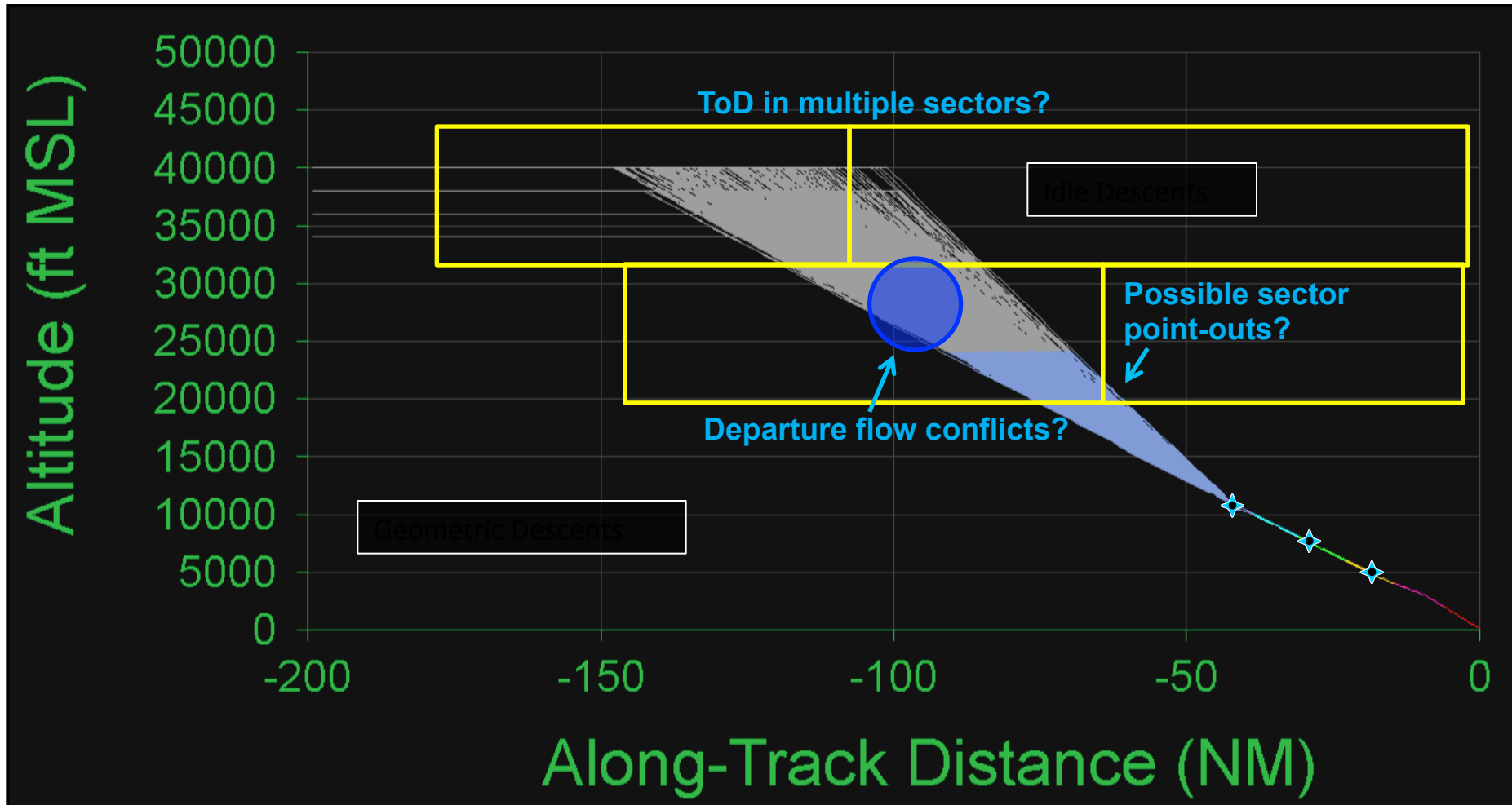
ATC Impacts on a CDO

- Crossing traffic impacts sequencing/issuing descent clearance
- Departure traffic frequently uses the same fixes as arrivals
- Intra-facility sector point-outs for coordination of high and low airspace
- Inter-facility coordination requires voice coordination

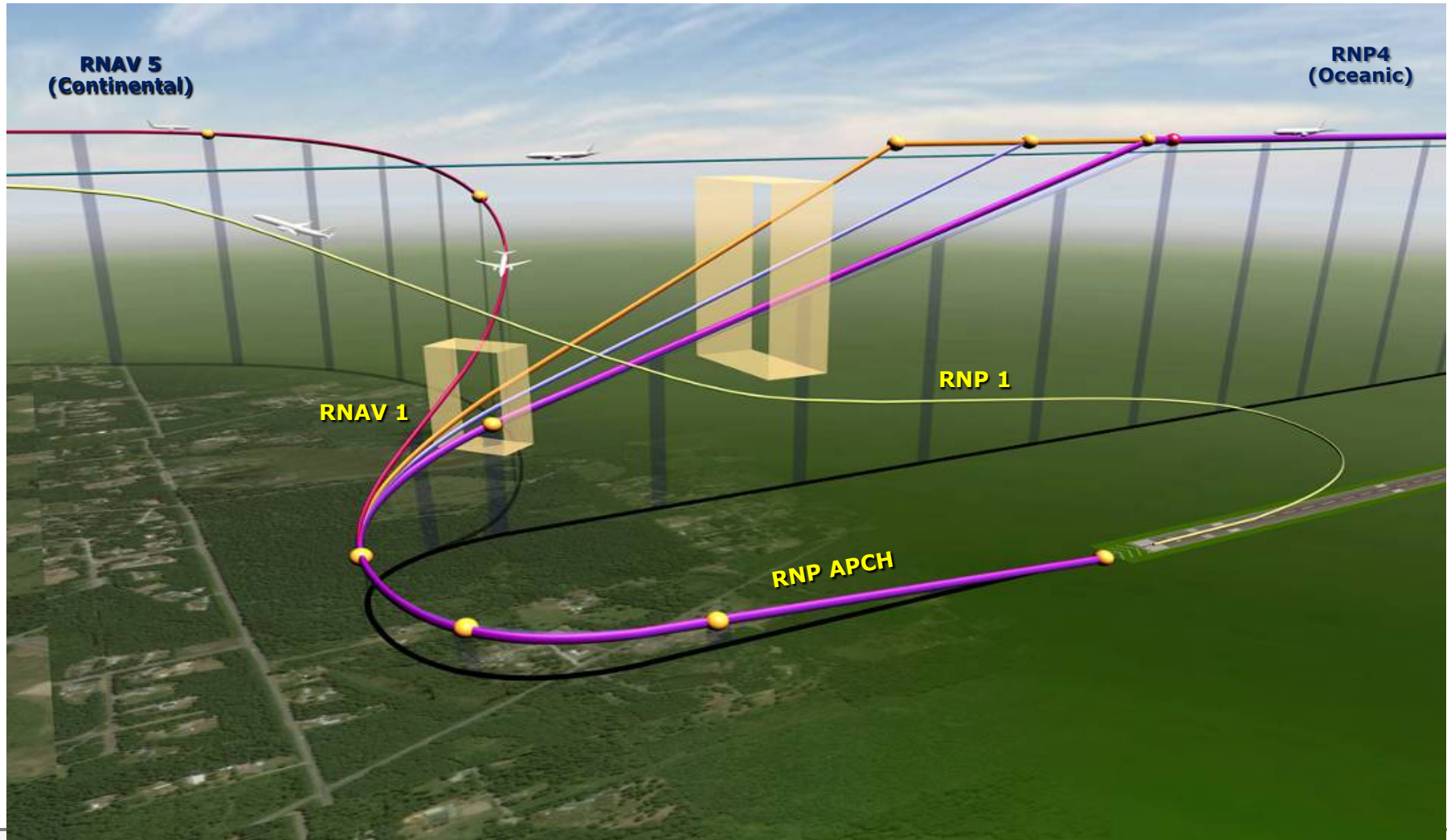
Profile Interaction



Impacts on ATC



PBN Airspace Concept



PBN airspace concept

- ✈️ **Airspace concept is developed to satisfy explicit strategic objectives**
 - ✈️ improved or maintained SAFETY
 - ✈️ increased ATS CAPACITY
 - ✈️ improved EFFICIENCY to allow more accurate flight paths
 - ✈️ mitigation of ENVIRONMENTAL impact

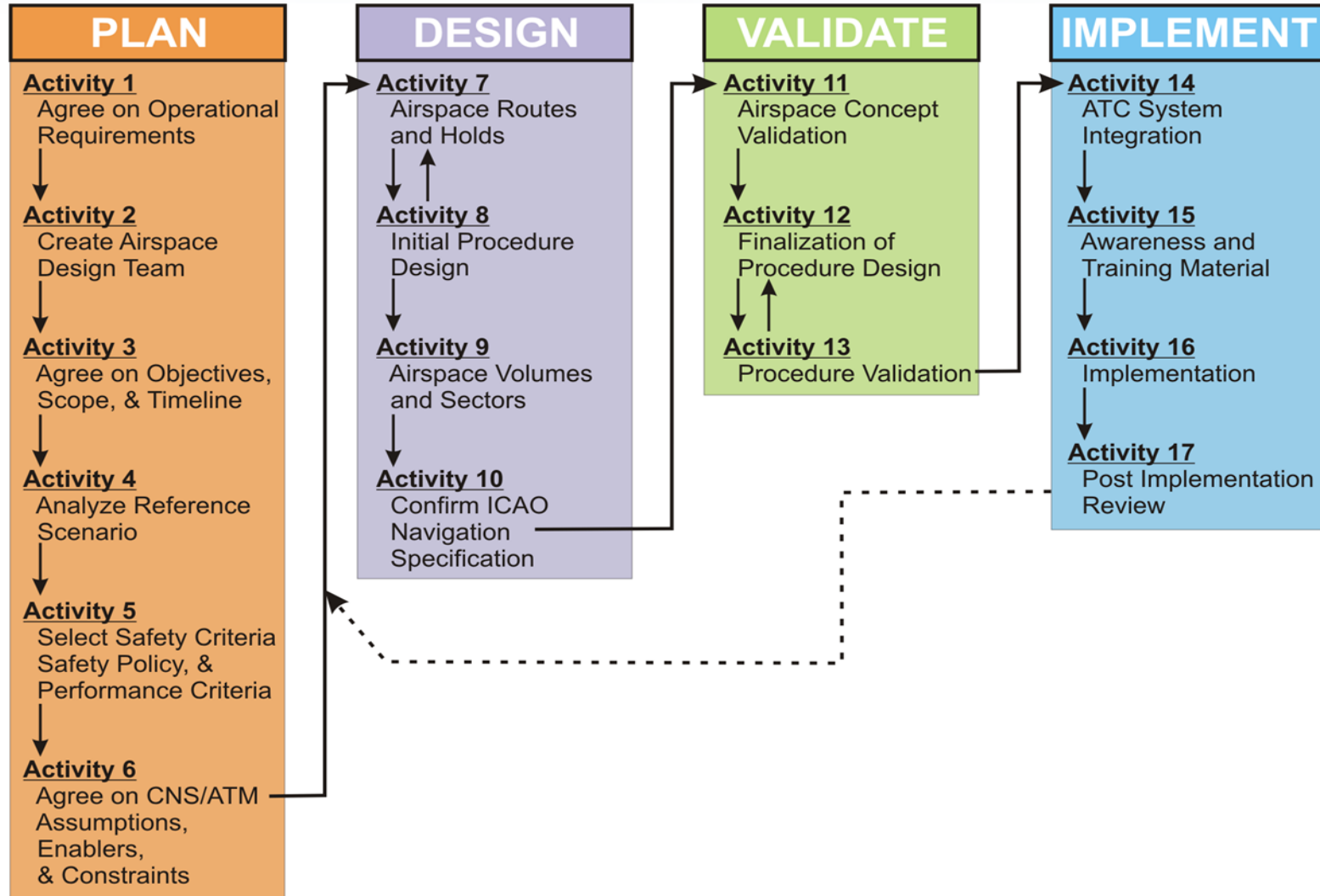
- ✈️ **These objectives are identified by airspace users, air traffic management (ATM), airports as well as environmental and government policy.**

PBN airspace concept = Design



- ✈️ Describes the intended operations within an airspace.
- ✈️ Detailed practical organization of the airspace and its users based on particular CNS/ATM requirements,
 - ✈️ ATS route structure,
 - ✈️ separation minima,
 - ✈️ route spacing and obstacle clearance.

PBN Airspace Design



EXAMPLE PROJECT PLAN



	<u>ACTIVITY</u>	<u>Number of Days</u>
PLAN	1 Agree on Operational Requirements	10
	2 Create Airspace Design Team	5
	3 Agree on Objectives, Scope & Timeline	15
	4 Analyze Reference Scenario	15
	5 Select Safety Criteria, Safety Policy, & Performance Criteria	10
	6 Agree on CBS/ATM Assumptions	12
DESIGN	7 Design Airspace Routes and Holds	14
	8 Initial Procedure Design	20
	9 Design Airspace Volumes and Sectors	20
	10 Confirm ICAO Navigation Specification	5
VALIDATE	11 Airspace Concept Validation	20
	12 Finalize Procedure Design	22
	13 Procedure Validation	20
IMPLEMENT	14 ATC System Integration	30
	15 Awareness and Training Material	30
	16 Implementation	1
	17 Post Implementation Review	30
TOTAL DAYS REQUIRED		279

PBN Airspace Concept, Lessons

- ✦ Collaboration for comprehensive implementation in the lower and higher airspace
- ✦ Airspace redesign due to complex airspaces, traffic grow and enhanced aircraft capabilities
 - ✦ (Multidisciplinary team: airspace planners, approach procedure design, pilots, controllers, regulators, etc.)
 - ✦ Several working months – years
- ✦ Apply Airspace Organization and Management (AOM) principles to ensure benefits for all stakeholders
 - ✦ improve airspace classification & sectorisation
 - ✦ ATM separations, procedures, vectoring, etc.
- ✦ Reinforce PBN Training
 - ✦ phraseology, procedures
 - ✦ Especially for pilots and ATCOs (lack or not qualified staff)
 - ✦ Day by day operational application
 - ✦ FMS use in operational environment

